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**From:** McAuliffe, Christopher J. [christopher.mcauliffe@morganlewis.com]  
**Sent:** 3/4/2022 6:34:58 PM  
**To:** Serfass, Rebecca [Serfass.Rebecca@epa.gov]  
**Subject:** RE: Request for Information C21-033 to Hospital of the University of Pennsylvania  
  
**Flag:** Follow up

Rebecca,

I am following-up on our phone call just now. I received additional records today and need some time to review them. I expect to be able to provide you with our response next week.

Thank you for your understanding  
Chris

**Christopher J. McAuliffe**

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**From:** McAuliffe, Christopher J.  
**Sent:** Monday, February 28, 2022 9:17 AM  
**To:** 'Serfass, Rebecca' <Serfass.Rebecca@epa.gov>  
**Subject:** RE: Request for Information C21-033 to Hospital of the University of Pennsylvania

Rebecca,

We are gathering additional training records. I expect to be able to provide you with our response at the end of the week.

Chris

**Christopher J. McAuliffe**

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**From:** Serfass, Rebecca <Serfass.Rebecca@epa.gov>  
**Sent:** Wednesday, February 23, 2022 2:44 PM  
**To:** McAuliffe, Christopher J. <christopher.mcauliffe@morganlewis.com>  
**Subject:** RE: Request for Information C21-033 to Hospital of the University of Pennsylvania

[EXTERNAL EMAIL]

Good afternoon Chris,

Following up to check on the status of retrieving the information requested below. Thank you for your attention.

Best,

***Rebecca Serfass***

Senior Enforcement Officer/Inspector

U.S. EPA Region 3 | Enforcement & Compliance Assurance Division | RCRA Section (3ED22)

1650 Arch Street | Philadelphia, PA 19103 | 215.814.2047 | [serfass.rebecca@epa.gov](mailto:serfass.rebecca@epa.gov)



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**From:** McAuliffe, Christopher J. <christopher.mcauliffe@morganlewis.com>  
**Sent:** Friday, February 11, 2022 7:51 AM  
**To:** Serfass, Rebecca <Serfass.Rebecca@epa.gov>  
**Cc:** Heym, Kevin <Kevin.Heym@pennmedicine.upenn.edu>  
**Subject:** RE: Request for Information C21-033 to Hospital of the University of Pennsylvania

Ms. Serfass,

Sorry for the delay in my response. I will speak with my client and respond to your requests.

Thanks

Chris

**Christopher J. McAuliffe**

**Morgan, Lewis & Bockius LLP**

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**From:** Serfass, Rebecca <Serfass.Rebecca@epa.gov>  
**Sent:** Tuesday, February 08, 2022 2:53 PM  
**To:** McAuliffe, Christopher J. <christopher.mcauliffe@morganlewis.com>

**Cc:** Heym, Kevin <[Kevin.Heym@pennmedicine.upenn.edu](mailto:Kevin.Heym@pennmedicine.upenn.edu)>

**Subject:** RE: Request for Information C21-033 to Hospital of the University of Pennsylvania

[EXTERNAL EMAIL]

Mr. McAuliffe,

Thank you again for the information. I have a couple follow-up questions based on the information provided.

1. As requested in Question 7.a. of the C21-033 Information Request Letter – please provide the RCRA ID number under which the Hospital of the University of Pennsylvania’s hazardous waste was managed prior to July 2019.
2. Training records provided for 2019-2021 appear to be for DOT training (aside from the Heym and Henne DOT/RCRA training certificates provided for 2021). Can the facility provide more information on what RCRA hazardous waste topics are covered during the DOT training or provide further information/documentation on RCRA hazardous waste training provided to employees handling hazardous waste in 2019 through 2021?

If you could respond with the above requested information at your earliest convenience, it would be much appreciated. Thank you for your attention to the matter.

Best,

***Rebecca Serfass***

Senior Enforcement Officer/Inspector

U.S. EPA Region 3 | Enforcement & Compliance Assurance Division | RCRA Section (3ED22)

1650 Arch Street | Philadelphia, PA 19103 | 215.814.2047 | [serfass.rebecca@epa.gov](mailto:serfass.rebecca@epa.gov)



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**From:** McAuliffe, Christopher J. <[christopher.mcauliffe@morganlewis.com](mailto:christopher.mcauliffe@morganlewis.com)>

**Sent:** Thursday, September 30, 2021 5:20 PM

**To:** Serfass, Rebecca <[Serfass.Rebecca@epa.gov](mailto:Serfass.Rebecca@epa.gov)>

**Cc:** Heym, Kevin <[Kevin.Heym@pennmedicine.upenn.edu](mailto:Kevin.Heym@pennmedicine.upenn.edu)>

**Subject:** Request for Information C21-033 to Hospital of the University of Pennsylvania

Dear Ms. Serfass,

Attached please find a written response to EPA’s August 5, 2021 Request for Information to the Hospital of the University of Pennsylvania with respect to EPA Id No. PAD980715536. I understand that EPA had granted the Hospital an extension of time until September 30th to respond to the request. I will send to you, through a separate secure file transfer email, the documents referenced in the written response.

Please do not hesitate to let me know if you have any questions.

Thank you,  
Chris

**Christopher J. McAuliffe**  
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